Section I:

AMENDMENT UNDER 37 CFR §1.121 to the CLAIMS

1. (currently amended) A method for controlling the flow of exchanged <u>electronic</u> documents comprising the steps of:

receiving [[a]] <u>an electronic</u> document by a recipient, <u>said document being part of</u> a set of documents which are interrelated through a non-thread historical tree structure;

extracting and storing a plurality of <u>unique identifier values guaranteed to be</u>
<u>unique within a messaging network (GUID) GUID values</u> associated with said
document including a GUID for the document itself (SelfGUID), a GUID
indicating a original predecessor document (OriginGUID) to which said
received document is related, and a GUID indicating an immediate
predecessor parent document (ParentGUID) to said received document;

accumulating GUID values for a plurality of additional messages which are part of said non-thread historical tree structure;

responsive to a user composing a new document related to said received document [[;]] performing historical participation analysis of said non-thread historical tree structure using said stored GUID values according to a set of user preferences to classify one or more potential recipients; and

proposing at least one list of recipients of said new document according to said potential recipient classifications.

 (original) The method as set forth in Claim 1 wherein said step of extracting a plurality of GUID values from a received message comprises extracting a set of meta data values.

- 3. (original) The method as set forth in Claim 1 wherein said step of proposing a list of recipients comprises a step selected from the group of proposing a "To" list of addressees, proposing a "Carbon Copy" list of addressees, and proposing a "Blind Copy" list addressees.
- 4. (original) The method as set forth in Claim 1 wherein said step of performing historical participating analysis comprises performing an analysis selected from the group of:
 - (a) designating a potential recipient as inactive if said participant has not contributed a document within a specified period of time;
 - (b) designating a potential recipient as inactive if said potential recipient has not contributed a document within a specified recent number of messages;
 - (c) designating a potential recipient as inactive if said potential recipient does not belong to a top portion of a group of potential recipients when rank ordered by number of documents contributed within a specified period of time;
 - (d) designating a potential recipient as inactive if said participant has not contributed a specified threshold number of documents within a specified time period; and
 - (e) designating a potential recipient as inactive if said participant has not contributed documents at a rate favorably comparable to an determined pace for an entire group of potential recipients.
- 5. (original) The method as set forth in Claim 1 further comprising sending a notice to recipients who have been classified as inactive participants.
- 6. (original) The method as set forth in Claim 1 wherein said step of composing a new document comprises composing a reply message.

- 7. (original) The method as set forth in Claim 1 wherein said step of composing a new document comprises composing a forwarded message.
- 8. (original) The method as set forth in Claim 1 wherein said step of proposing at least one list of recipients comprises a step selected from the group of:
 - (a) replying to all document contributors and document recipients within a current branch of a conversation tree;
 - (b) replying to document contributors and document recipients within an entire conversation tree;
 - (c) replying to document contributors and document recipients classified as "active participants" within a current branch of a conversation tree;
 - (d) replying only to document contributors and document recipients as "passive participants" within a current branch of a conversation tree;
 - (e) replying only to document contributors and document recipients classified as "passive participants" within an entire tree of conversation;
 - (f) forwarding to all document contributors and document recipients within a current branch of a conversation tree:
 - (g) forwarding to all document contributors and document recipients within an entire conversation tree;
 - (i) forwarding only to document contributors and document recipients classified as "active participants "within a current branch of a conversation tree;
 - (j) forwarding only to document contributors and document recipients classified as "passive participants" within a current branch of a conversation tree; and
 - (k) forwarding only to document contributors and document recipients classified as "passive participants" within an entire tree of

conversation.

- 9. (original) The method as set forth in Claim 1 further comprising the steps of: associating an OriginGUID value with said new document having a value equal to the OriginGUID value of said received document; associating a ParentGUID value with said new document having a value equal to the SelfGUID of said received document; and associating a new and unique SelfGUID value with said new document.
- 10. (original) The method as set forth in Claim 1 further comprising composing a new document with new context by performing the steps of:

associating a null OriginGUID value and null ParentGUID value with said new document; and

associating a new and unique SelfGUID value with said new document.

- 11. (currently amended) An article of manufacture A computer readable medium encoded with software for controlling the flow of exchanged documents , said software performing the steps of comprising:
 - a computer readable medium suitable for encoding software programs:

 one or more software programs encoded by said medium and configured to cause
 a processor to perform steps including:
 - (a) receiving [[a]] an document by a recipient, said document being part of a

 set of documents which are interrelated through a non-thread historical tree

 structure;
 - (b) extracting and storing a plurality of <u>unique identifier values guaranteed to be</u>
 <u>unique within a messaging network (GUID)</u> GUID values associated with said
 document including a GUID for the document itself (SelfGUID), a GUID
 indicating a original predecessor document (OriginGUID) to which said
 received document is related, and a GUID indicating an immediate
 predecessor parent document (ParentGUID) to said received document;
 - (c) accumulating GUID values for a plurality of additional messages which are part of said non-thread historical tree structure;
 - (d) responsive to a user composing a new document related to said received document [[;]] performing historical participation analysis of said non-thread historical tree structure using said stored GUID values according to a set of user preferences to classify one or more potential recipients; and
 - (e) proposing at least one list of recipients of said new document according to said potential recipient classifications.
- 12. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for extracting a plurality of GUID values from a received message comprises software for extracting a set of meta data values.

- 13. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for proposing a list of recipients comprises software for performing a step selected from the group of proposing a "To" list of addressees, proposing a "Carbon Copy" list of addressees, and proposing a "Blind Copy" list addressees.
- 14. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for performing historical participating analysis comprises software for performing an analysis selected from the group of:
 - (a) designating a potential recipient as inactive if said participant has not contributed a document within a specified period of time;
 - (b) designating a potential recipient as inactive if said potential recipient has not contributed a document within a specified recent number of messages;
 - (c) designating a potential recipient as inactive if said potential recipient does not belong to a top portion of a group of potential recipients when rank ordered by number of documents contributed within a specified period of time;
 - (d) designating a potential recipient as inactive if said participant has not contributed a specified threshold number of documents within a specified time period; and
 - (e) designating a potential recipient as inactive if said participant has not contributed documents at a rate favorably comparable to an determined pace for an entire group of potential recipients.
- 15. (currently amended) The <u>article</u> computer readable medium as set forth in Claim 11 wherein said software for sending a notice to recipients who have been classified as inactive participants.

- 16. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for composing a new document comprises software for composing a reply message.
- 17. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for composing a new document comprises software for composing a forwarded message.
- 18. (currently amended) The <u>article computer readable medium</u> as set forth in Claim 11 wherein said software for proposing at least one list of recipients comprises software for performing a step selected from the group of:
 - (a) replying to all document contributors and document recipients within a current branch of a conversation tree;
 - (b) replying to document contributors and document recipients within an entire conversation tree;
 - (c) replying to document contributors and document recipients

 classified as "active participants" within a current branch of a

 conversation tree;
 - (d) replying only to document contributors and document recipients as "passive participants" within a current branch of a conversation tree;
 - (e) replying only to document contributors and document recipients classified as "passive participants" within an entire tree of conversation;
 - (f) forwarding to all document contributors and document recipients within a current branch of a conversation tree;
 - (g) forwarding to all document contributors and document recipients within an entire conversation tree;
 - (i) forwarding only to document contributors and document recipients classified as "active participants "within a current branch of a

conversation tree;

- (j) forwarding only to document contributors and document recipients classified as "passive participants" within a current branch of a conversation tree; and
- (k) forwarding only to document contributors and document recipients classified as "passive participants" within an entire tree of conversation.
- 19. (currently amended) The <u>article</u> computer readable medium as set forth in Claim 11 wherein said software further performs the steps of:

associating an OriginGUID value with said new document having a value equal to the OriginGUID value of said received document; associating a ParentGUID value with said new document having a value equal to the SelfGUID of said received document; and associating a new and unique SelfGUID value with said new document.

20. (currently amended) The <u>article</u> computer readable medium as set forth in Claim 11 wherein said software for composing a new document with new context by performing the steps of:

associating a null OriginGUID value and null ParentGUID value with said new document; and

associating a new and unique SelfGUID value with said new document.

21. (currently amended) A system for controlling the flow of exchanged documents comprising:

a first <u>electronic</u> document having a plurality of associated Globally Unique Identifier (GUID) values including a GUID for the document itself (SelfGUID), a GUID indicating a original predecessor document (OriginGUID) to which said received document is related, and a GUID indicating an immediate predecessor parent document (ParentGUID) to said received document, said document being part of a set of documents which are interrelated through a non-thread historical tree structure, said GUID values being guaranteed unique within a messaging network;

a GUID extractor <u>adapted</u> <u>configured</u> to extract and store said first document GUID values, <u>and to accumulate GUID values for a plurality of additional messages</u> <u>which are part of said non-thread historical tree structure</u>;

a new document composer for creating a document related to said received document;

an historical participation analyzer <u>cooperative</u> with a new document composer <u>configured</u> adapted to, responsive to composing a new document related to said non-thread historical tree structure, analyze consider said stored GUID values and to classify one or more potential recipients according to a set of user preferences; and

a recipient list generator configured to propose at least one list of recipients of said new document according to said potential recipient classifications.

- 22. (currently amended) The system as set forth in Claim 21 wherein said GUID extractor is further adapted configured to extract a set of meta data values.
- 23. (currently amended) The system as set forth in Claim 21 wherein said recipient list generator is further adapted configured to propose a list selected from the group of a "To" list of addressees, a "Carbon Copy" list of addressees, and a "Blind Copy" list addressees.

- 24. (currently amended) The system as set forth in Claim 21 wherein said historical participating analyzer is further adapted configured to perform an analysis selected from the group of:
 - (a) designating a potential recipient as inactive if said participant has not contributed a document within a specified period of time;
 - (b) designating a potential recipient as inactive if said potential recipient has not contributed a document within a specified recent number of messages;
 - (c) designating a potential recipient as inactive if said potential recipient does not belong to a top portion of a group of potential recipients when rank ordered by number of documents contributed within a specified period of time;
 - (d) designating a potential recipient as inactive if said participant has not contributed a specified threshold number of documents within a specified time period; and
 - (e) designating a potential recipient as inactive if said participant has not contributed documents at a rate favorably comparable to an determined pace for an entire group of potential recipients.
- 25. (original) The system as set forth in Claim 21 further comprising a termination notice sender for sending notification to recipients who have been classified as inactive participants.
- 26. (original) The system as set forth in Claim 21 wherein said new document composer is further configured to compose a reply message.
- 27. (original) The system as set forth in Claim 21 wherein said new document composer is further configured to compose a forward message.

28. (original) The system as set forth in Claim 21 wherein said recipient list generator is adapted to propose a list to a set of recipients selected from the group of:

- (a) all document contributors and document recipients within a current branch of a conversation tree;
- (b) all document contributors and document recipients within an entire conversation tree;
- (c) all document contributors and document recipients classified as "active participants" within a current branch of a conversation tree;
- (d) only document contributors and document recipients as "passive participants" within a current branch of a conversation tree; and,
- (e) only document contributors and document recipients classified as "passive participants" within an entire tree of conversation.
- 29. (original) The system as set forth in Claim 21 further comprising:

 an OriginGUID value associated with said new document having
 a value equal to the OriginGUID value of said first document;
 a ParentGUID value associated with said new document having
 a value equal to the SelfGUID of said first document; and
 a new and unique SelfGUID value associated with said new document.
- 30. (original) The system as set forth in Claim 21 in which said new document is provided a new context including:
 - a null OriginGUID value and a null ParentGUID value associated with said new document; and
 - a new and unique SelfGUID value associated with associated with said new document.